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From the Editor

This year’s issue of the Bulletin of the American Association of University Professors, the AAUP’s journal of record, is the fourth published since 2010, when the Association’s reports and other official documents were first gathered together under one cover rather than published separately throughout the year in Academe. The 2013 Bulletin contains the record of a notably productive twelve months (through June 2013) of Association activity in both policy formulation and case work.

Three case reports of investigations in the area of academic freedom and tenure (all of which were previously published online) give accounts of mass layoffs and threatened mass layoffs of faculty members for financial reasons, in gross violation of AAUP-supported principles and standards. At the University of Northern Iowa, tenured faculty members faced a choice between being laid off with one year’s salary or taking early retirement after the administration, citing financial shortfalls, unilaterally discontinued nearly sixty academic programs and closed the laboratory school. The administration of National Louis University (Illinois), also alleging financial problems, discontinued departments as well as programs and terminated the appointments of more than sixty full-time faculty members. At Southern University in Baton Rouge, after the system’s governing board declared financial exigency, the university’s administration proceeded unilaterally to restructure the curriculum and terminate the appointments of nineteen tenured faculty members. On the basis of these reports, the 2013 annual meeting voted to add National Louis University and Southern University in Baton Rouge to the Association’s list of censured administrations but, because of promising developments, deferred any action regarding the University of Northern Iowa.

The University of Virginia Board’s Attempt to Remove the President (published online in March) is a case report of a governance investigation concerning the forced resignation of Dr. Teresa A. Sullivan from the UVA presidency in June 2012. President Sullivan was reinstated following a few weeks of escalating protests by internal and external groups, including the AAUP. Despite the successful immediate outcome, the Association’s leadership decided to proceed with a previously authorized investigation because the board’s action, in its view, raised “vital issues of academic governance and a university’s purpose.” The report’s authors concluded, among other findings, that the chair and members of the board of visitors, in acting to force the president’s resignation, failed “to understand the institution over which they presided and to engage with the administration and the faculty in an effort to be well informed.”

Development of AAUP policy is represented in the committee and subcommittee reports contained in this issue of the Bulletin. A joint subcommittee consisting of members of the Committee on College and University Governance and the Committee on Contingency and the Profession produced The Inclusion in Governance of Faculty Members Holding Contingent Appointments. The final report, adopted by the Association’s Council in November and posted online in January, makes a number of recommendations for effecting fuller participation in academic governance by faculty members who hold contingent appointments. A subcommittee of the governance committee prepared Confidentiality and Faculty Representation in Academic Governance (the final version of which was published online in June), which discusses the problem, becoming more and more common, of faculty representatives on institutional decision-making bodies being required, as a condition of participation, to adhere to confidentiality agreements. A joint subcommittee of the Association of College and Research Libraries and the AAUP revised the Joint Statement on Faculty Status of College and University Librarians (published online in January) to provide an expanded description of academic librarians’ professional responsibilities. From a subcommittee of the Committee on Women in the Academic Profession comes Campus Sexual Assault: Suggested Policies and Procedures (adopted by the Association’s Council in November and posted online in February), offering guidance on this issue to faculty members and the academic community generally.

Regulation of Research on Human Subjects: Academic Freedom and the Institutional Review Board, prepared by a subcommittee of Committee A on Academic
Freedom and Tenure, responds to the federal government’s notice, in 2011, of potential changes to its regulations regarding research on human subjects and makes a number of recommendations for reform. Committee A approved the report for publication in June 2012, and it was published online in March.

*The Role of the Faculty in Conditions of Financial Exigency* addresses the ever-more-frequent occurrence, especially since 2008, of large-scale program closures ostensibly necessitated by financial problems (see the investigative reports on academic freedom and tenure for three examples). This major report, the product of three years’ effort on the part of a Committee A subcommittee, recommends revisions to Regulation 4 of the Association’s *Recommended Institutional Regulations on Academic Freedom and Tenure* that redefine “financial exigency” and strengthen the faculty’s role in all decision making relating to program discontinuance. At its meeting at the beginning of June, Committee A gave the report (which had been published electronically in January) its final approval, and two weeks later the Council adopted it as AAUP policy. The Council also adopted as official AAUP policy, following approval by Committee A, a new edition of the *Recommended Institutional Regulations* that incorporates the revisions to Regulation 4 recommended by the financial exigency subcommittee, as well as other changes made since the 2009 edition. The new edition of the *Recommended Institutional Regulations* appears in print for the first time here.

The remainder of the 2013 Bulletin contains the annual reports from the chairs of Committee A on Academic Freedom and Tenure and the Committee on College and University Governance, the report of the annual meeting, a revision of the AAUP’s constitution, corrections to the 2012–13 Annual Report on the Economic Status of the Profession, and lists of members of various official bodies of the AAUP, the AAUP-CBC, and the AAUP Foundation.

—Gregory F. Scholtz